Steward Partners Investment Solutions Fee Schedule (Raymond James)

Fee Schedule		Brokerage Account	Advisory Account
Check /Asset Movement Fees	Wired Funds Outgoing Domestic [1,4,7]	$25	$25
	Wired Funds Outgoing International [1,4,7]	$40	$40
	Cashier's Check [1,4]	$25	$25
	Early Payout of Money [1,5]	$25 plus Interest	$25 plus Interest
	Returned Deposit Items (Check, ACH) [1]	$20	Waived
	Check Disbursement Overnight [1]	$20	$20
	Check Disbursement Saturday [1]	$30	$30
	Check Disbursement International [1]	$20	$20
Account Transfer Fees	Physical Certificate Issuance [1]	$500	$500
	Re-Registration of Stock Certificate [1, 11]	Amount Varies	Amount Varies
	Physical Certificate Deposit Reject [1]	$125	$125
	ACAT Outgoing Transfer [1]	$125	$125
	Transfer of Foreign Security [1]	$50	$50
Retirement Account Annual Maintenance Fees	Annual Retirement Account Fee [1,4]	Up to $75	Waived
	Retirement Account Termination Fee [1]	$100	$100
Capital Access Fees	Annual Fee [1,4]	$150	Waived
	Returned Deposit [1]	$20	$20
	Express Shipping Debit Cards [1]	$20	$20
	Express Shipping Checks [1]	$30 (additional charges may apply for specialty checks)	$30 (additional charges may apply for specialty checks)
	ATM Surcharge [1, 12]	Pass-thru	Pass-thru
	International Transaction Fee (Includes Cash Advance) [1, 8]	1% Visa Conversion Fee	1% Visa Conversion Fee
	Stop Payment [1]	Waived	Waived
	Insufficient Funds [1,9]	$35	$35

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Fee Schedule		Brokerage Account	Advisory Account
Other Fees	Trade Handling [1,6]	$5.95	Waived
	Non-US Security Processing [1]	$150	$150
	Extension on Settlement [1]	$20/1st $40/2nd	$20/1st $40/2nd
	Mailgram [1]	$10	$10
	Pledged Account [1]	Up to $150	Up to $150
	990T-Tax filing and Processing Fee [1]	$200	$200
Annual Fee	Retail Brokerage Accounts [1,2,3]	$75	Waived
Commission Schedule	Equities, ETFs	0-3% of principal	Waived
	Options [10]	2.5-5% of principal	Waived
	Fixed Income (Corporate, Muni, Govt, Principal or Agency)	0-3 % of principal $75 minimum	Waived
	Alternative Investments, Structured Products, Mutual funds	By prospectus	Waived
	UITs	By prospectus	Waived

For additional information and details regarding Account Fees and Changes, you may also refer to the client information booklet included in the Raymond James welcome kit received during account opening.

(1) The fees listed apply to Steward Partners commission and advisory accounts that are carried or custodied with Raymond James (RJ). The fees assessed by Steward Partners represent the fees that are charged by Raymond James. Fee schedule subject to change with written notice.
(2) Waived for Client relationships over $250,000. Regulations prohibit granting exemptions, benefits, or discounts (e.g. fee waivers) using co-mingled qualified plan and non-qualified plan assets.
(3) Eligible clients can receive a $25 account fee credit if they elect for electronic documents.
(4) Waived with a relationship of $500,000 or greater
(5) Interest is calculated using Raymond James' highest margin interest rate (see Lending Rates) and is assessed for the number of days payout is received prior to settlement date. Only three early payouts are permitted during a 12-month period.
(6) The Handling Fee is described in the New Client Welcome kit
(7) The first four wire fees per calendar year are waived for eligible clients with a relationship over $500,000.
(8) Institutions that process the cash advance may have their own fees
(9) No Charge if covered within 1 business day, if left uncovered and returned, fee will apply
(10) The minimum commission for option purchases is $35. The minimum commission for option sells is $35 or 100% of principal, whichever is less.
(11) Fees are determined by the transfer agent
(12) ATM reimbursements of $200 per year (unlimited ATM reimbursement for relationships with market value over $500,000

Steward Partners maintains operational, compliance, and technology resources in support of its broker-dealer operations necessary to meet its regulatory obligations and provide these and other services in connection with your account and any transactions effected in your account. As a result, Steward Partners generally sets the commissions and charges detailed herein at amounts and rates that are higher than the related fees, costs, and expenses, if any, that Steward Partners pays to Raymond James for clearance and execution of transactions and related services.

Regulatory Information & Disclosures | Important Disclosures

Securities are offered through Steward Partners Investment Solutions, LLC (SPIS), a registered broker/dealer and member FINRA/SIPC. Investment Advisory services are offered through Steward Partners Investment Advisory, LLC (SPIA), an SEC-registered investment adviser. SPIS, SPIA, and Steward Partners Global Advisory, LLC are affiliates and collectively referred to as Steward Partners.

Securities, insurance products and investment advisory services are: · **NOT** FDIC Insured · **NOT** Bank Guaranteed · and **MAY** Go Down In Value.